Multi-hop Modulated Graph Convolutional Networks for 3D Human Pose Estimation

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Abstract

Graph convolutional networks (GCNs) have recently been applied to 3D human pose estimation (3D HPE) from 2D body joints. GCN-based 3D HPE has achieved promising performance in modeling the relationships between body parts. However, vanilla graph convolution only considers the relationship between neighbouring joints at a one-hop distance. Some recent approaches have utilised high-order graph convolution to model long-range dependency. They exploit the adjacency matrix of one-hop neighbouring joints, but the method cannot capture the long-range dependency. To solve this problem, we propose the multi-hop modulated GCN (MM-GCN) for 3D HPE. The unique adjacency matrix of each hop distance is derived, and aggregate features of nodes at various hop distances are modulated to capture the long-range dependency. Thus, the proposed network can model a wide range of interactions between body joints more adequately than does the vanilla graph approach. Moreover, we investigate the impact of combination with affinity modulation (AM) because AM adjusts the graph in a GCN. Our experiments, and an ablation study conducted on two standard benchmarks demonstrate the effectiveness of the proposed network, showing that our MM-GCN outperforms some recent state-of-the-art techniques.

1 Introduction

3D human pose estimation (3D HPE), which aims to localise the 3D position of human body joints in a camera coordinate system from single or multiple images, is an important area of study in human–computer interaction (HCI), gesture recognition, and human behaviour recognition. However, 3D HPE remains challenging due to its ill-posed nature, which means multiple valid 3D body configurations can be projected onto the same 2D pose in the image space. Thus far, the performance of 3D HPE has been greatly improved by the rapid development of deep neural network solutions, which have achieved performance superior to that of classical approaches which use handcrafted features. Most existing 3D pose estimation methods use an end-to-end pipeline [14, 20, 25, 26, 30] via a convolutional neural network (CNN) from an image, or a two-stage pipeline [9, 16, 18, 28, 31]. Two-stage approaches for 3D pose estimation have shown great promise, outperforming end-to-end models. For
example, Martinez et al. [16] designed a simple fully connected network with residual connections for estimating 3D poses from 2D joint detections, and they achieved state-of-the-art 3D HPE performance.

In recent years, interest in the adoption of graph convolutional networks (GCNs) [3, 9, 29] for 3D pose estimation [4, 23, 23, 31, 35, 36] has rapidly increased. Because a 2D human skeleton can be represented as a graph, in a GCN for 3D HPE, the nodes in the graph are body joints, and the edges in the graph are connections between neighbouring body joints. Moreover, GCNs repeatedly transform and aggregate the features of neighbouring nodes to obtain more powerful feature representations. Zhao et al. [31] proposed SemGCN, which learns to capture semantic information by multiplying a learnable mask by a given graph, yielding improved performance in 3D pose estimation while using a much smaller number of parameters. In addition, Zou et al. [35] proposed a modulated GCN that adjusts the graph structure in a GCN so that it can model additional edges beyond the human skeleton. However, GCNs designed for 3D HPE have a potential limitation; that is, they perform graph convolutions only on the one-hop neighbours of each node, and hence they lack the ability to capture long-range dependencies. Some works [1, 35, 36] have attempted to alleviate this limitation. For example, MixHop was proposed in [1] by concatenating the feature representations of multi-hop neighbours via a sparsified neighbourhood mixing, which leverages a graph convolutional layer that mixes the powers of the adjacency matrix. Zou et al. [36] proposed a high-order GCN for 3D pose estimation based on MixHop that fused the features of these multi-hop neighbouring joints, and Quan et al. [35] leveraged residual connections to help mitigate the over-smoothing problem.

To address this limitation, this study introduces a multi-hop modulated GCN (MM-GCN) for 3D HPE. First, we derive the unique adjacency matrix of each hop distance, whereas in previous works, graphs of k-hop neighbouring nodes were computed by the kth power of the adjacency matrix of the one-hop distance. For the aggregation method, the features of these multi-hop neighbours are modulated and fused by a learnable matrix to consider the long-range dependency, which is designed such that the features are more heavily weighted as the hop distance becomes smaller. The effectiveness of our approach is validated by a comprehensive evaluation with a rigorous ablation study and comparisons with state-of-the-art techniques on standard 3D benchmarks. The performance of our approach matches that of state-of-the-art techniques on Human3.6M [12] and MPI-INF-3DHP [17] using only 2D joint coordinates as inputs. Furthermore, we show the visual results of MM-GCN, which demonstrate the effectiveness of our approach qualitatively.

2 Related Work

3D Human Pose Estimation Recently, state-of-the-art 3D HPE approaches have taken advantage of deep neural networks, and they can be roughly divided into two categories. The first category of approaches directly predicts the 3D pose from the image [17, 19, 20, 26, 33, 34]. For example, Zhou et al. [34] integrated a 3D depth regression subnetwork into a state-of-the-art 2D detector. Pavlakos et al. [20] proposed a fine discretisation of the 3D space around the subject and trained a CNN to predict the per-voxel likelihood for each body joint. Sun et al. [26] designed a simple integral operation to relate and unify a heatmap representation and joint regression.

The second category includes approaches that consist of two stages [4, 6, 7, 8, 11, 15, 16, 21, 22, 24]. 2D joint locations are first extracted using a 2D pose detector, and then a
lifting network is employed to regress 3D poses from 2D detections. Our approach belongs in this category. Martínez et al. [16] introduced a simple yet effective method that predicted 3D keypoints purely based on 2D detections. Fang et al. [7] further extended this approach through pose grammar networks. Zhou et al. [33] directly embedded a kinematic object model into a deep neural network for general articulated object pose estimation. Ci et al. [6] enhanced the representation capability of a GCN by introducing a locally connected network. Zou et al. [36] designed a high-order GCN model for 3D pose estimation based on MixHop in a bid to capture the long-range dependencies between distant body joints. By contrast, our MM-GCN derives the adjacency matrix of body joints at various distances, which provides a more powerful representation of the GCN by modeling long-range dependencies between body joints. We also introduce the method of aggregating features.

**Graph Convolutional Networks** Generalising CNNs to inputs with graph-like structures is an important topic in the field of deep learning. The principle of constructing GCNs on graphs is generally based on one of two perspectives: the spectral perspective and the spatial perspective. Our proposed MM-GCN is most related to spatial GCN, because the convolution filters of our MM-GCN are applied directly to the graph nodes and their neighbours. Sami et al. [1] learned neighbourhood mixing relationships by repeatedly mixing feature representations of neighbours at various distances through the powers of the graph adjacency matrix. Bai et al. [2] exploited a high-order GCN for skeleton-based action recognition, but their high-order adjacency matrix was constructed by summing the mixed powers of the original adjacency matrix. Zou et al. [36] introduced the aggregation method to fuse the multi-order feature representations.

### 3 Muti-hop Modulated Graph Convolutional Networks

#### 3.1 Previous GCN for 3D HPE

A vanilla GCN for 3D HPE was introduced in [29]. By letting $\mathcal{G} = \{\mathcal{V}, \mathcal{E}\}$ denote a graph where $\mathcal{V}$ is the set of $N$ nodes and $\mathcal{E}$ are the edges which connect two body joints, the edges can be represented by an adjacency matrix $A \in \{0, 1\}^{N \times N}$ whose $(i,j)$-th entry is equal to the weight of the edge between neighbouring nodes $i$ and $j$, and zero otherwise. Each node $i$ is associated with a $D$-dimensional feature vector $h_i \in \mathbb{R}^D$. The collection of features of all nodes can be written as matrix $H \in \mathbb{R}^{D \times N}$. A graph convolution layer updates the features of each node via the equation below:

$$H' = \sigma(WHA)$$

where $\tilde{A}$ is the symmetrically normalized version of $A$ with self-connections [29]. $W \in \mathbb{R}^{D' \times D}$ is a learnable weight matrix that transforms the feature dimension from $D$ to $D'$, followed by an activation function $\sigma(\cdot)$ such as RELU $(\cdot) = \max(0, \cdot)$ [18], and $H' \in \mathbb{R}^{D' \times N}$ is the updated feature matrix, where the $i$-th column of $H'$ is $h'_i$. Eq. (1) can be equivalently written as below:

$$h'_i = \sigma \left( \sum_{j \in \mathcal{N}(i)} W h_j a_{ij} \right)$$

where $a_{ij}$ is the $(i,j)$-th entry of $\tilde{A}$, and these transformed node representations are gathered to node $i$ from its neighbouring nodes $j \in \tilde{\mathcal{N}}(i)$ and $\tilde{\mathcal{N}}(i) \equiv \mathcal{N}(i) \cup \{i\}$. This vanilla graph
convolution has one limitation (it shares a weight matrix $W$ for each node), and this limitation makes various relational patterns difficult to learn. To solve this problem, Zou et al. [35] proposed weight modulation and affinity modulation (AM) while retaining a small model size. The weight modulation of the modulated GCN is as shown below:

$$H' = \sigma \left( (M \odot (WH)) \tilde{A} \right)$$

(3)

where $\odot$ is an element-wise multiplication, $M \in \mathbb{R}^{D\times N}$ is a learnable modulation matrix and set of all modulation vectors, and its $i$-th column is the modulation vector $m_i \in \mathbb{R}^{D'}$. The modulation vectors are multiplied by the updated feature vectors of different nodes. Thus, Eq. (3) can be rewritten as follows:

$$H'_i = \sigma \left( \sum_{j \in \tilde{N}(i)} (m_i \odot W) h_j \tilde{a}_{ij} \right)$$

(4)

The AM of the modulated GCN is as below:

$$A_{add} = A_{skeleton} + Q$$

(5)

where $A_{add}$ is a modulated adjacency matrix $A_{skeleton} \in \mathbb{R}^{N\times N}$ is an adjacency matrix whose element values are 1 if the corresponding pair of body joints are naturally connected, and zero otherwise. $Q \in \mathbb{R}^{N\times N}$ is a learnable matrix. Liu et al. [15] found that decoupling the transformations of self-connections and other edges can significantly improve the 3D HPE performance, as shown below:

$$H' = \sigma \left( W^{(0)} H + W^{(1)} H\hat{A} \right)$$

(6)

where $W^{(0)} \in \mathbb{R}^{D'\times D}$ and $W^{(1)} \in \mathbb{R}^{D'\times D}$ are the weight matrices corresponding to the self and neighbour transformations, respectively, and $\hat{A}$ is the symmetrically normalised version of $A$ without self-connections. We adopt this method as our baseline. Zou et al. [36] exploited a high-order adjacency matrix to consider the relationship of neighbouring nodes at a given $k$-hop distance. An adjacency matrix of $k$-hop $\hat{A}^k$ is calculated by the $k$-th power of the adjacency matrix $A$, and then symmetrical normalisation is applied. In this paper, we introduce the MM-GCN. First, we define the simple adjacency matrix of the $k$-hop distance. Then, we propose the MM-GCN to merge the updated features of each hop distance.

### 3.2 Multi-hop Modulated GCNs

The MM-GCN consists of two components. One of the components derives the adjacency matrix according to the $k$-hop distance. The vanilla GCN considers only one-hop neighbours to define the adjacency matrix $A$. In previous works [2, 3, 36], the adjacency matrix for $k$-hop neighbouring nodes $A^k$ was computed by the $k$-th power of the adjacency matrix $A$. Because $A^k$ represents the relationships of all the neighbouring nodes up to a distance of $k$ hops, it is difficult to merge the features of these multi-hop neighbours. Thus, we propose the simple and novel adjacency matrix for multi-hop neighbouring nodes of the human skeleton, and it is represented as $A'_k$.

For our proposed adjacency matrix $A'_k$, as shown in Fig. 1, the neighbouring joints at various numbers of hops from the pelvis, such as the centre joint, are represented by coloured
circles. Fig. 1 (a) illustrates the one-hop neighbouring joints from the centre joint, and the neighbouring joints are indicated by orange circles. Because no single joint can be defined within one hop, the proposed adjacency matrix $A_{1}'$ is equal to the conventional adjacency matrix $A$. Moreover, Figs. 1 (b) and (c) illustrate the 2-hop neighbouring joints from the centre joint and the 3-hop neighbouring joints from the centre joint, respectively, and these are indicated by blue circles and green circles, respectively. Because the proposed adjacency matrix $A_{k}'$ represents the relationships between neighbouring joints, except middle joints up to a distance of $k$ hops, the relationships between the adjacency matrices $A_{k}'$ of each hop have low correlations with each other. Thus, it can provide a flexible modeling structure for learning the long-range relationships between body joints.

In this study, another component of the proposed method is a modulation method to merge the aggregate features of each hop. First, the updated feature matrix $H'$ is derived by simply applying the adjacency matrix $A_{k}'$ to Eq. (6) as follows:

$$H' = \sigma \left( \sum_{k=1}^{K} w_k \odot \left( W^{(0)} H + W^{(1)} H A_{k}' \right) \right)$$

where $w_k \in \mathbb{R}^{D' \times N}$ is a learnable modulation matrix to model the relationships between the aggregate features of each hop distance, $W^{(0)}$ and $W^{(1)}$ are the weight matrices corresponding to the self and neighbour transformations, respectively, and $A_{k}'$ is the symmetrically normalised version of $A_{k}'$ without self-connections. However, the learnable modulation matrix $w_k$ is insufficient to model the relationships between the aggregate features of each hop distance, because $w_k$ is only utilised to aggregate the features of the $k$-hop distance. To consider the long-range dependency, we assume that the feature representation of the $k$-hop distance is influenced by the merged features up to the $(k+1)$-hop distance $C_{k+1}$, as follows:

$$C_k = \lambda_k \odot \left( W^{(0)} H + W^{(1)} H A_{k}' \right) + (1 - \lambda_k) \odot C_{k+1}$$

where $\lambda_k \in \mathbb{R}^{D' \times N}$ is a learnable modulation matrix to model the relationships between the
aggregate features of the k-hop distance $\left( W^{(0)}H + W^{(1)}H^\prime_k \right)$ and the merged features up to the $(k+1)$-hop distance $C_{k+1}$. If $0 < k < K$, $C_k$ is calculated using the bi-linear modulation between the aggregate features of the k-hop distance and $C_{k+1}$. Otherwise, $C_k$ is equal to $\left( W^{(0)}H + W^{(1)}H^\prime_k \right)$. Thus, the MM-GCN is designed such that the features become more heavily weighted as the hop distance becomes shorter, and it can be represented as follows:

$$H' = \sigma \left( \lambda_1 \odot \left( W^{(0)}H + W^{(1)}H^\prime_1 \right) + \left( 1 - \lambda_1 \right) \odot C_2 \right)$$ (9)

### 3.3 Network Architecture

![Network architecture of proposed MM-GCN for 3D HPE. (D, N) indicates feature channels and number of body joints, respectively.](image)

Fig. 2 shows the architecture of the MM-GCN for 3D HPE. The input of our MM-GCN is a set of 2D keypoints generated via an off-the-shelf 2D pose detector, and we use two multi-hop modulated graph convolutional (MM-GConv) layers as building blocks and apply a skip connection, such as a residual block. All MM-GConv layers are followed by batch normalisation and ReLU activation except for the last one. The 3D pose is generated by the last layer of the network.

### 4 Experiments

#### 4.1 Setting

**Datasets** The Human3.6M dataset contains 3.6 million 3D human poses by 11 professional actors and corresponding images captured by a high-speed motion capture system using four different cameras. Each actor performs 15 everyday activities. Following previous work [16], we used standard normalisation to pre-process the 2D and 3D poses before feeding them into our model. The MPI-INF-3DHP is a recent 3D human pose dataset constructed using a motion capture system with both indoor scenes and complex outdoor scenes. It includes eight actors performing eight activities each. In contrast to Human3.6M, it covers more action classes, ranging from walking and sitting to challenging exercise poses and dynamic actions. To demonstrate the generalisability of our model quantitatively, we evaluated our model on the testing set of MPI-INF-3DHP after the model was trained on Human3.6M. The test split was made up of approximately 3K images from six subjects performing seven actions.

**Evaluation Protocols** Two standard protocols were exploited to evaluate our model on Human3.6M. We used five subjects (S1, S5, S6, S7, and S8) for training and two subjects
(S9 and S11) for testing under both Protocol #1 and Protocol #2. Under Protocol #1, we reported the mean per-joint position error (MPJPE), which computes the average Euclidean distance between the predicted 3D joint positions and ground truth. Under Protocol #2, we reported the Procrustes-aligned MPJPE (PA-MPJPE), where MPJPE is computed after rigid alignment of the prediction with respect to the ground truth. Both error metrics were measured in millimetres, and lower values indicated better performance. For MPI-INF-3DHP, a 3D extension of the percentage of correct keypoints (3DPCK) and the area under the curve (AUC) were adopted as the evaluation metrics.

**Implementation Details** The proposed model was implemented in PyTorch and optimised using the Adam optimiser. We trained our model for 200 epochs, setting the decay factor to 0.96 per four epochs with an initial learning rate of 0.01 and a batch size of 1024. Following previous work [35], the other configuration was divisionally set for the 2D ground truth and the 2D pose detection, because 3D pose regression from 2D detections is more challenging than that from 2D ground truth, as the former needs to deal with more uncertainty in the 2D space. For the 2D ground truth, we set the number of channels to 128. We obtained 2D pose detections using a cascaded pyramid network (CPN) [5]. We also set the number of channels to 384 to manage the detection errors. Following [4], we incorporated a non-local layer [28] and the Affinity Modulation [36] to improve the performance. In the ablation study, the non-local layer was excluded. Moreover, we used the 2D ground truth as input to bypass the influence from 2D pose detectors.

### 4.2 Ablation Study

In the ablation study, we used the 2D ground truth as input to the proposed MM-GCN to eliminate the extra uncertainty from the 2D pose detector. We started by investigating the effect of the various hop distances and the AM from [35] on model performance, where the AM was equal to Eq. (5). We also evaluated our model against [36] and [23], which are state-of-the-art multi-hop-based GCNs for 3D HPE. The results on the Human3.6M dataset are shown in Table 1. As can be seen, the proposed MM-GCN outperformed both high-order GCN and HOIF-Net under Protocols #1 and #2. Under Protocol #1, it did so while using a much smaller number of parameters.

<table>
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<tr>
<th>Method</th>
<th># of Hops</th>
<th># of Channels</th>
<th># of Parameters</th>
<th>MPJPE</th>
<th>P-MPJPE</th>
<th>Infer.Time</th>
<th>Complexity</th>
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<td>Zou et al. [35]</td>
<td>2</td>
<td>128</td>
<td>1.20M</td>
<td>39.68</td>
<td>31.69</td>
<td>0.011s</td>
<td>$O((K+1)^2C^3)$</td>
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<tr>
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<td>0.30M</td>
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<td>$O((K+1)^2C^3)$</td>
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<td>29.74</td>
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In addition, the last column in Table 1 presents the complexity of various methods. The complexity of MM-GCN is equal to $O(2C^3 + KC^2)$, where C denotes channels and K denotes hops, and it can be rewritten as $O(2C^3)$. Moreover, the inference time of MM-GCN is approximately 0.007s.

Table 2 further compares our proposed MM-GCN with various GCN-based methods for 2D-to-3D pose estimation. We observe that our proposed MM-GCN outperforms the SemGCN with and without non-local modules. Note the non-local module [28] is designed to capture the non-local relationships between nodes, but the performance of the SemGCN with non-local modules is still worse than that of our approach. This demonstrates the great
advantage of our proposed MM-GCN. In addition, we report results on the effect of the AM, which significantly increases the performance of the proposed MM-GCN. Moreover, Table 2 shows that the MPJPE and P-MPJPE of our MM-GCN decrease by 1.42 mm and 0.93 mm, respectively, when the AM for the 3-hop model is applied. For the 2-hop model, the AM reduces the MPJPE and P-MPJPE by 0.84 mm and 1.22 mm, respectively, whereas the AM reduces the MPJPE and P-MPJPE of the modulated GCN by 0.58 mm and 0.29 mm, respectively. Because our MM-GCN derives the adjacency matrix for each hop distance and aggregates features using a learnable modulation matrix, the AM applied to each adjacency matrix provides more powerful feature representation.

Also, the below area in Table 3 shows the performance of MM-GCN according to various hop distances. The results show that as the k-hop distance increases, our MM-GCN aggregates features of neighbouring joints at various hop distances more efficiently. Thus, when neighbouring joints are at long hop distance but actually close in terms of Euclidean distance, our MM-GCN can efficiently model the relationship between neighbouring joints.

### 4.3 Comparison with State-of-the-Art Techniques

We compared the MM-GCN with some state-of-the-art methods on Human3.6M under both Protocol #1 and Protocol #2. Following previous works [2], we used 2D poses detected by a pre-trained CPN [1] as the input. Some of the state-of-the-art methods utilised post-processing [3], such as pose refinement. Thus, all experiments were evaluated without post-processing. The results are reported in Table 4 and Table 5. The proposed MM-GCN
Table 4: Quantitative comparisons on Human3.6M under Protocol #1. Boldface numbers indicate the best 3D pose estimation performance.

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</table>

performed the best on most of the tasks, and on average, under both Protocol #1 and Protocol #2, indicating that the MM-GCN is very competitive. Under Protocol #1, Table 4 shows that our proposed model achieved an improvement of 0.7 mm compared with the previous best result of 52.4 mm. Under Protocol #2, Table 5 shows that our model performed better than the previous best result with a 0.7 mm error reduction on average.

Table 6: Quantitative comparisons on MPI-INF-3DHP. Higher 3DPCK and AUC values indicate better performance.

<table>
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<tr>
<th>Method</th>
<th>3DPCK</th>
<th>AUC</th>
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<td>Yang et al. [16]</td>
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<td>Pavlakos et al. [21]</td>
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<td>Habibie et al. [10]</td>
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<td>Wang et al. [27]</td>
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<td>Quan et al. [23]</td>
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<td>Liu et al. [15]</td>
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<td>Ours (3-hop)</td>
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<td>50.3</td>
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</table>

We also evaluated our MM-GCN on the testing set of MPI-INF-3DHP to test its generalisability across different datasets. Following [13], we used the 2D joints provided by the dataset as input. The results are shown in Table 6. As can be seen, our method achieved the best performance on all evaluation metrics.

Fig. 3 shows the visualisation results obtained by our MM-GCN on Human3.6M. It can accurately predict the 3D poses of different persons who are performing various actions, indicating the effectiveness of our proposed approach in tackling the 2D-to-3D pose estimation problem.
Figure 3: Qualitative results obtained by our MM-GCN on the Human3.6M test set.

5 Conclusion

In this paper, we introduced an MM-GCN for 3D HPE to effectively model long-range dependencies between each body part and its distant neighbours. We derived the adjacency matrix using a simple concept and modulated the features of each hop distance to aggregate multi-hop neighbours. We performed experiments and an ablation study to highlight the merits of our model and to demonstrate its competitive performance in comparison with state-of-the-art methods for 3D HPE.

References


