# Topology-Preserving Hard Pixel Mining for Tubular Structure Segmentation Supplementary Material

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# 1 Persistent Homology-based HPM

1.1 Persistent Homology on Image

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Figure 1: Illustration of persistent homology on image. Left figure is a likelihood map  $\mathbf{p}$ . The Middle bottom one shows the binarized maps of  $\mathbf{p}$  with different thresholds. As the threshold *t* deceasing, the foreground of current snapshot is covered by the counterpart of the next moment. Here we only consider 0-dimensional features, which refer to connected components and are masked in different colors. The middle top persistent bars in different colors correspond to the lifetime of different topological features. The start and end *t* of these bars form the points of the right persistent diagram.

In the context of segmentation models, the output **p** is a likelihood matrix. Binarization need to be performed to obtain the predicted label. Given the probability threshold *t*, binarized prediction  $\mathbf{p}_t$  has the same shape with **p**, where for each pair  $(p_t, p)$  that taken from the same position of  $\mathbf{p}_t$  and **p** we have:

$$p_t = \begin{cases} 1, & p >= t; \\ 0, & \text{otherwise.} \end{cases}$$
(1)

We use  $f^t$  to denote the foreground of  $\mathbf{p}_t$ . Obviously, the value of t influences the resulting pattern  $f_t$ , thereby affecting its topological structures that refers to connected components for 042 0-dimension and holes for 1-dimension [**B**, **D**]. As illustrated in Fig. 1, persistent homology 043 captures all topological structures through a filtration  $\mathcal{F}$ , which is a monotonically growing

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sequence generated by progressively decreasing the threshold t:

$$\mathcal{F} = \left[ f^{t_1}, f^{t_2}, \cdots, f^{t_n} \right], t_1 > t_2 > \cdots > t_n;$$
(2) 048

and we have:

$$f^{t_1} \subseteq f^{t_2} \subseteq \dots \subseteq f^{t_n}. \tag{3}$$

During this process, some new topological structures emerge while existing ones are killed.  $_{052}$ The thresholds corresponding to the birth and death of a topological structure constitute a  $_{053}$ point in the persistent diagram that can be calculated efficiently through cubical complex  $_{054}$ [ $\Box$ ,  $\Box$ ,  $\Box$ ]. Each birth or death occurs at a specific pixel. This kind of pixels are called critical  $_{055}$ points and they introduce topological changes.

### **1.2** Topology-Preserving Cost Function by Hu et al. [6]

Hu et al. [**G**] propose a topology-preserving cost function based on persistent homology. They calculate the persistent diagrams  $Dgm(\mathbf{p})$  and  $Dgm(\mathbf{y})$  for prediction  $\mathbf{p}$  and ground-truth  $\mathbf{y}$ , respectively. Note that all points from  $Dgm(\mathbf{y})$  have the same coordinate (1,0). A distance-based matching algorithm [**D**] is further performed to establish correspondences between the points in these two diagrams. The unmatched points in  $Dgm(\mathbf{p})$  are considered as noise and projected onto the diagonal line. As we mentioned before, every point in persistent diagram of consists of the birth and death threshold of a topological feature, and therefore corresponds two critical points. For a given point  $d_{\mathbf{p}} \in Dgm(\mathbf{p})$  and its critical points ( $c_{\text{birth}}, c_{\text{death}}$ ), if  $d_{\mathbf{p}}$  of as:

$$l_{\rm Dgm}(d_{\rm p}) = (1.0 - c_{\rm birth})^2 + c_{\rm death}^2.$$
 (4) 069

Otherwise,  $d_{\mathbf{p}} \in \text{Dgm}(\mathbf{p})$  is projected to the diagonal by:

$$l_{\rm Dgm}(d_{\rm p}) = (c_{\rm death} - c_{\rm birth})^2.$$
(5)

The overall cost function is calculated as following:

$$\mathcal{L}_{\text{Dgm}} = \sum_{d_{\mathbf{p}} \in \text{Dgm}(\mathbf{p})} l_{\text{Dgm}}(d_{\mathbf{p}}). \tag{6)}$$

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Figure 2: Drawbacks of Hu et al. [**6**]. (a) Aligning two persistent diagram can leads to 088 geometrically incorrectness. (b) The topology of a likelihood map can be very complicated. 089 Subtle variation of pixel values result in a large number of trivial critical points. 090

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### 092 1.3 Persistent Homology-based HPM

Hu et al. [**G**] has two noticeable drawbacks. Firstly, aligning the persistent diagrams is not sufficient from a geometric perspective. Fig. 2(a) shows that minimizing  $\mathcal{L}_{Dgm}$  leads to two possible results. Both of them have the correct topology, while the top one are geometrically incorrect. Secondly, the topology of a likelihood map could be very complicated, resulting in a large number of critical points as show in Fig. 2(b). Therefore, according to the authors, the matching process can be quite difficult for large image patches. Also, most of critical points are introduced by slight difference among pixels and are actually trivial and even harmful for topology preservation. In their experiment, the patch size is limited to  $(65 \times 65)$  to get reasonable results.



Figure 3: Illustration of persistent homology-based HPM: cubical complex of prediction is
calculated to generate a set of critical points, each of which corresponds to the birth or death
of a topological structure. Mis-segmented critical points are marked as hard pixels.

The persistent homology-based HPM (PHPM) is an improved version of Hu et al. [**G**]. Instead of directly matching and aligning the critical points like Hu et al. [**G**], we use critical points to mine hard pixels. A pre-processing is performed before the computation of persistent homology, so that the topology analysis can pay most attention on mis-segmented pixels. PHPM can be formulated as follows:

$$H_{\mathbf{p}} = \bigcup_{d \in D} C_d \left( f\left(\mathbf{p}, \mathbf{y}, t\right) \right).$$
<sup>(7)</sup>

The set *D* encompasses the dimensions of topological features that hold our interest,  $C_d(\cdot)$ denotes the function to get the *d*-dim critical points of input image, *f* is the pre-processing function that increases the intensity of all true positive pixels to 1.0 and all true negative pixels to 0.0. It returns a matrix  $\mathbf{p}_f$  with the same shape as input  $\mathbf{p}$  and  $\mathbf{y}$ . For each quadruplet  $(p_f, p_t, p, y)$  that consists of elements taken from the same position of  $\mathbf{p}_f$ ,  $\mathbf{p}_t$ ,  $\mathbf{p}$  and  $\mathbf{y}$ , we have:

$$p_f = \begin{cases} p, & ext{if } p_t \neq y; \\ y, & ext{otherwise.} \end{cases}$$

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Fig. 3 gives an illustration of PHPM. Training with PHPM leads to correct topology and geometry. Also, the pre-process significantly reduce the number of trivial topological features. So PHPM can be applied on larger image patches and demonstrates a higher topology-

<sup>137</sup> preserving ability comparing to [**<sup>1</sup>**].

(8)

## **1.4 Expreimental Results**

Table. 1 quantitatively evaluate the performance of models training with different loss functions on 6 public datasets [2, 5, 2]. The size of image patches is  $256 \times 256$  for 2D data and  $128 \times 128 \times 72$  for 3D data. Comparing to Hu et al. [5], training with PHPM achieve higher scores for all metrics, especially for topology-aware metrics, which means that PHPM is more advanced version of [5] and demonstrates a better topological preservation capability.

Table 1: Quantitative experimental results.								
Dataset	Method	Dice	mIoU	VOI	ARE	$\beta$ -0 Error	$\beta$ -1 Error	
CREMI-A	U-Net + Hu et al.	0.9134	0.8407	0.4002	0.2332	2.296	24.752	
	U-Net + PHPM	0.9133	0.8405	0.3819	0.2060	2.112	20.352	
CREMI-B	U-Net + Hu et al.	0.8538	0.7497	1.1434	0.6199	18.128	64.064	
	U-Net + PHPM	0.8540	0.7502	1.0444	0.5724	15.672	56.056	
CREMI-C	U-Net + Hu et al.	0.8937	0.8083	0.6974	0.3678	6.878	47.496	
	U-Net + PHPM	0.8934	0.8077	0.6383	0.3243	5.772	39.089	
ISBI12	U-Net + Hu et al.	0.8313	0.7122	0.6869	0.1555	2.967	7.933	
	U-Net + PHPM	0.8313	0.7123	0.6805	0.1400	3.200	7.583	
Roads	U-Net + Hu et al.	0.7237	0.5755	0.7605	0.3470	10.492	29.820	
	U-Net + PHPM	0.7295	0.5823	0.7339	0.3372	8.826	29.232	
ICAS-d (3D)	U-Net + Hu et al.	-	-	-	-	-	-	
	U-Net + PHPM	0.6059	0.4407	0.0091	0.0012	4.590	0.000	

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